

Announcement of Frankfurter Wertpapierbörse (FWB)

Fifteenth Amendment Ordinance to the Exchange Rules for the Frankfurter Wertpapierbörse

On 24 March 2022, the Exchange Council of the Frankfurter Wertpapierbörse approved the Fifteenth Amendment Ordinance to the Exchange Rules for the Frankfurter Wertpapierbörse.

The Amendment Ordinance shall take effect on 01 April 2022.

A copy of the Ordinance has been deposited at the reception desk of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany and is available for inspection during the general opening hours. The Ordinance specified above was laid down on 31. March 2022

**Fifteenth Amendment Ordinance
to the Exchange Rules for the Frankfurter Wertpapierbörse**

**Article 1 Amendment to the Exchange Rules for the Frankfurter Wertpapierbörse in
the version dated 29 June 2017, last amended by the Amendment Ordinance
dated 19 November 2021**

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

[...]

Section IV Admission of Securities

[...]

Sub-section 2 Admission to the Sub-Segment of the Regulated Market with Additional Obligations arising from Admission (Prime Standard)

[...]

§ 51 Annual Financial Report

- (1) At the end of a financial year, the issuer of the shares or the issuer of the represented shares shall draw up an annual financial report as single or several documents under § 114 Paragraphs 2 and 3 WpHG or, if so required, a consolidated financial statement and group management report under § 117 Number 1 WpHG. The annual financial report shall be prepared according to the current version of the Commission Delegated Regulation (EU) 2019/815 of 17 December 2018 supplementing Directive 2004/109/EC of the European Parliament and the European Council concerning regulatory technical standards on the specification of a uniform electronic reporting format (OJ L 143, 29.5.2019, p. 1; L 145, 4.6.2019, p. 85). The annual financial report shall comprise an auditor's report in which the auditor assesses whether the requirements of the Delegated Regulation (EU) 2019/815, in its current version, have been complied with. The Management Board may, at the request of the issuer, grant exemptions from the requirements of § 51 Paragraph 1 Clauses 2 and 3 if the issuer is not legally required to comply with the requirements of § 51 Paragraph 1 Clauses 2 and 3 or has been exempted from complying with them by the relevant national supervisory authority. The annual financial report shall be prepared in both German and English. Issuers with registered offices outside Germany may prepare their report in English only.
- (2) The issuer of the shares or the issuer of the represented shares shall transmit the annual financial report to the Management Board at the latest by four months after the end of a given financial year. The manner and form of the electronic transmission shall be determined by the Management Board. The Management Board shall make the annual financial report available to the public, either electronically or in another suitable manner.
- (3) The foregoing shall be without prejudice to the statutory provisions concerning the annual financial report. Exemptions granted by the German Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin) shall be immediately applicable, unless the granted exemptions contradict basic

~~ideas of the Prime Standard~~ § 51 Paragraph 1 Clauses 2 and 3. The issuer of the shares or the issuer of the represented shares shall inform the Management Board of exemptions granted under Clause 2. Upon request, the issuer of the shares or the issuer of the represented shares shall make available to the Management Board all documents regarding the granting of such exemption. Furthermore, the Management Board may grant exemptions from the conditions of § 48 to issuers with offices in a non-EU country or outside another contractual country of the Treaty on the European Economic Area, provided that the issuers are subject to similar rules of a non-EU country or comply with such rules in whole or in part.

[...]

Article 2 Effectiveness

(1) The amendments specified in Article 1 § 51 shall become effective on 01 April 2022.

The foregoing Fifteenth Amendment Ordinance to the Exchange Rules for the Frankfurter Wertpapierbörse is hereby executed. Pursuant to the decision of the Exchange Council of the Frankfurter Wertpapierbörse dated 24 March 2022, the Amendment Ordinance shall become effective on 01 April 2022.

The Hessian Ministry of Economics, Energy, Transport and Housing has given its approval required pursuant to §16 Paragraph 3 of the German Exchange Act (*Börsengesetz*) by letter dated 24 March 2022 (File No: III 7 – 037-d – 02 – 05 – 02 # 020)

The Fifteenth Amendment Ordinance to the Exchange Rules for the Frankfurter Wertpapierbörse shall be announced by notice in the reception area of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany and by electronic publication on the internet, available on the website of the Frankfurter Wertpapierbörse (<https://www.xetra.com>).

Frankfurt/Main, 31 March 2022

Management Board of the Frankfurter Wertpapierbörse

Dr. Cord Gebhardt

Michael Krogmann