

Announcement
of Frankfurter Wertpapierbörse (FWB)

Ninth Amendment Ordinance to the
Conditions for Transactions on the Frankfurter Wertpapierbörse

On 12 November 2015, the Exchange Council of the Frankfurter Wertpapierbörse decided on the following Ninth Amendment Ordinance to the Conditions for Transactions on the Frankfurter Wertpapierbörse.

The Ninth Amendment Ordinance shall take effect on 30 November 2015.

**Ninth Amendment Ordinance
to the Conditions for Transactions on the Frankfurter Wertpapierbörse (FWB)**

On 12 November 2015, the Exchange Council of the Frankfurter Wertpapierbörse decided on the following Amendment Ordinance:

Article 1 Amendment to the Conditions for Transactions on the Frankfurter Wertpapierbörse in the version dated 28 March 2011, last amended by the Amendment Ordinance dated 24 March 2015

The Conditions for Transactions on the Frankfurter Wertpapierbörse in the version dated 28 March 2011, last amended by the Amendment Ordinance dated 24 March 2015, will be amended as follows:

MODIFICATIONS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

III. Part Cancellation of Transactions

[...]

§ 29 Official Cancellation of Transactions

- (1) The Management Board may officially cancel transactions if the latter do not fulfil the requirements of orderly exchange trading, in particular, if the price determination was erroneous. The cancellation of transactions in securities for which the settlement is carried out by Eurex Clearing AG comprises all transactions accomplished pursuant to § 2 Paragraph 2.
- (2) In particular, transactions may be officially cancelled which
 1. – in case a mistrade application admissible pursuant to § 24 is missing – have been accomplished at a price obviously not in line with the market pursuant to §§ 25 to 28;
 2. are based on an error in the technical system of the exchange;
 3. have been accomplished in securities for which an event leading to a cancellation of existing orders pursuant to § 75 of the Exchange Rules ~~has~~ not or has erroneously been realized by according system entries;
 4. – in securities which are traded in the Specialist Model of Continuous Auction in the single auction – have been accomplished outside the first price determination, such price determination taking place in the single auction within the time period specified by the Management Board for the entry of the binding specialist quote according to § 69 Paragraph 4 Number 2 Clause 1 of the Exchange Rules;
 5. have been accomplished outside the trading hours specified by the Management Board according to § 118 Paragraph 3 of the Exchange Rules;
 6. have been accomplished in securities which – in the Specialist Model of Continuous Auction – are traded in foreign currency and settled in Euro, and for which the specialist has, – for purposes of conversion of currency, entered an exchange rate which does not comply with the requirements set by the Management Board.

[...]

[...]

Article 2 *Effective Date*

Article 1 shall become effective on 30 November 2015.

The foregoing Ninth Amendment Ordinance of the Conditions for Transactions on the Frankfurter Wertpapierbörse is hereby executed. Pursuant to the decision of the Exchange Council of the Frankfurter Wertpapierbörse dated 12 November 2015, the Amendment Ordinance shall become effective on 30 November 2015.

The Ninth Amendment Ordinance shall be announced by notice on the premises of the Frankfurter Wertpapierbörse and by electronic publication on the internet, available on the websites of the Frankfurter Wertpapierbörse (<http://www.deutsche-boerse.com>).

Frankfurt/Main, 17 November 2015

Management Board of Frankfurter Wertpapierbörse

Dr. Cord Gebhardt

Michael Krogmann