

Announcement of Frankfurter Wertpapierbörse (FWB)

Fourth Amendment Ordinance to the

Trading Regulation for the Regulated Unofficial Market on Frankfurter Wertpapierbörse

On 12 November 2015, the Exchange Council of the Frankfurter Wertpapierbörse decided on the following Fourth Amendment Ordinance to the Trading Regulation for the Regulated Unofficial Market on Frankfurter Wertpapierbörse.

The Fourth Amendment Ordinance shall take effect on 30 November 2015.

**Trading Regulation for the Regulated Unofficial
Market on Frankfurter Wertpapierbörse**

**Fourth Amendment Ordinance
to the Trading Regulation for the Regulated Unofficial Market
on Frankfurter Wertpapierbörse**

On 12 November 2015, the Exchange Council of the Frankfurter Wertpapierbörse decided on the following Amendment Ordinance:

Article 1 Amendment to the Trading Regulation for the Regulated Unofficial Market on Frankfurter Wertpapierbörse in the version dated 28 March 2011, last amended by the Amendment Ordinance dated 3 December 2013

The Trading Regulation for the Regulated Unofficial Market on Frankfurter Wertpapierbörse in the version dated 28 March 2011, last amended by Amendment Ordinance dated 3 December 2013, will be amended as follows:

MODIFICATIONS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

Trading Regulation for the Regulated Unofficial Market on Frankfurter Wertpapierbörse

I. Part **General Provisions**

[...]

§ 3 **Regulations for Trading**

(1) For trading in the Open Market, §§ 1, 18 to 31, 41, 43, 44 Paragraph 4 and 5, 57, 64 to 75, 77, 79, 80 Paragraph 1, ~~82, 82b~~, 83 to 115 and 117 of the Exchange Rules shall apply accordingly. In this case,

1. applications according to § 84 Paragraph 1 and 2, as well as § 85 Paragraph 1 of the Exchange Rules shall be submitted by the participant who has applied for inclusion of the securities in the Open Market, and such participant shall be entitled to enter, change or delete orders under the conditions of § 84 Paragraph 3 of the Exchange Rules;

[...]

Article 2 *Effective Date*

Article 1 shall become effective on 30 November 2015.

The foregoing Fourth Amendment Ordinance to the Trading Regulation for the Regulated Unofficial Market on Frankfurter Wertpapierbörse is hereby executed. Pursuant to the decision of the Exchange Council of the Frankfurter Wertpapierbörse dated 12 November 2015, the Amendment Ordinance shall become effective on 30 November 2015.

The Fourth Amendment Ordinance shall be announced by notice on the premises of the Frankfurter Wertpapierbörse and by electronic publication on the internet, available on the websites of the Frankfurter Wertpapierbörse (<http://www.deutsche-boerse.com>).

Frankfurt/Main, 19 November 2015

Management Board of Frankfurter Wertpapierbörse

Dr. Martin Reck

Dr. Cord Gebhardt