

Announcement
of Frankfurter Wertpapierbörse (FWB)

Fifth Amendment Ordinance to the
Conditions for Transactions on the Frankfurter Wertpapierbörse

On 28 November 2013, the Exchange Council of the Frankfurter Wertpapierbörse decided on the following Fifth Amendment Ordinance to the Conditions for Transactions on the Frankfurter Wertpapierbörse.

The Fifth Amendment Ordinance shall take effect on 16 December 2013.

**Fifth Amendment Ordinance
to the Conditions for Transactions on the Frankfurter Wertpapierbörse (FWB)**

On 28 November 2013, the Exchange Council of the Frankfurter Wertpapierbörse decided on the following Amendment Ordinance:

Article 1 *Amendment to the Conditions for Transactions on the Frankfurter Wertpapierbörse in the version dated 28 March 2011, last amended by the Amendment Ordinance dated 26 June 2012*

The Conditions for Transactions on the Frankfurter Wertpapierbörse in the version dated 28 March 2011, last amended by the Amendment Ordinance dated 26 Juni 2012, will be amended as follows:

MODIFICATIONS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

[...]

Conditions for Transactions on the Frankfurter Wertpapierbörse

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[...]

II. Part Performance of Transactions

[...]

§ 10 ~~Fulfilment of Transactions in Foreign Securities on the Domestic Market (cancelled)~~

- (1) ~~Transactions which are accomplished during trading of foreign securities according to the provisions of Part VII, Subpart 9 Exchange Rules, shall be settled by the central depository respectively determined by the Management Board according to § 115 Paragraph 2 Clause 3 Exchange Rules (Transactions Settlement on Domestic Market).~~
- (2) ~~The transactions according to Paragraph 1 shall be fulfilled within the fulfilment period valid in the country of the respective central depository. The Management Board shall announce the fulfilment periods.~~
- (3) ~~The seller is obligated to provide to the buyer the legal position required for purchase of the securities according to the provisions of the state of the central depository.~~
- (4) ~~For transactions according to Paragraph 1, the provisions of the state of the central depository apply instead of §§ 12 to 18 and 20 and 21.~~

[...]

Article 2 Effective Date

Article 1 shall become effective on 16 December 2013.

The foregoing Fifth Amendment Ordinance of the Conditions for Transactions on the Frankfurter Wertpapierbörse is hereby executed. Pursuant to the decision of the Exchange Council of the Frankfurter Wertpapierbörse dated 28 November 2013, the Amendment Ordinance shall become effective on 16 December 2013.

The Fifth Amendment Ordinance shall be announced by notice on the premises of the Frankfurter Wertpapierbörse and by electronic publication on the internet, available on the websites of the Frankfurter Wertpapierbörse (<http://www.deutsche-boerse.com>).

Frankfurt/Main, 3 December 2013

Management Board of Frankfurter Wertpapierbörse

Dr. Martin Reck

Dr. Cord Gebhardt