



**To all companies participating in the Regulated Market at Frankfurter Wertpapierbörse (FWB<sup>®</sup>, the Frankfurt Stock Exchange)**

28 December 2017

**New application forms for the admission and introduction of securities to exchange trading in the Regulated Market (General Standard und Prime Standard)**

Dear Sir or Madam,

With this circular, we would like to inform you about the availability of new application forms for the admission of securities to exchange trading in the Regulated Market and the introduction of admitted securities to trading on the Regulated Market at Frankfurter Wertpapierbörse (FWB<sup>®</sup>, the Frankfurt Stock Exchange).

With the coming into effect of relevant provisions of the Commission Delegated Directive (EU) 2014/65/EU (MiFID II), the Regulation (EU) No. 600/2014 (MiFIR) as well as the Exchange Rules for the FWB on 3 January 2018, an adjustment of our sample application forms became necessary.

In detail, the changes refer to confirmations,

- that the securities subject of the application are not derivatives, which must be cleared via a central counterparty pursuant to Art. 29 par. 1 MiFIR;
- to have taken notice of the reference to obligations under union law pursuant to Art. 7 Directive 2017/568 (RTS 17).

In addition, some editorial changes have been made.

Due to the changes listed above, FWB has adjusted its application forms for the admission and introduction of securities to exchange trading in the Regulated Market.

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The new application forms are attached to this circular. They will also be published shortly on the Deutsche Börse Cash Market website [www.deutsche-boerse-cash-market.com](http://www.deutsche-boerse-cash-market.com) under the following link:

**Primary Market > Publications > Applications**

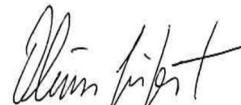
To expedite and facilitate processes, please use the updated application forms for applications as of 3 January 2018, since otherwise, necessary information must be retrieved subsequently.

If you have any questions, please contact the department Listing Services of Deutsche Börse AG under telephone +49-(0) 69-2 11-1 39 90.

Yours faithfully,



Maren Pfeiffer



Oliver Seifert

Attachments:

1. Application for admission of securities to exchange trading in the Regulated Market
2. Application for introduction of admitted securities to trading on the Regulated Market